

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Attention: Application Branch

FRANCISCO et al.

Atty. Dkt. 3734-4

Serial No. 09/431,616

Filed: November 2, 1999

Date: November 15, 2002

For: SYSTEM AND METHOD FOR CAUSING MULTIPLE PARTIES TO BE PAID  
FROM A SINGLE CREDIT CARD TRANSACTION

Assistant Commissioner for Patents  
Washington, D.C. 20231

The attached completes filing of the above-identified patent application:

- ☒ Signed Rule 63 Declaration alone, ☐ Copy of Declaration from prior application alone, OR  
☐ Signed Declaration plus attached copy of originally filed specification/drawings.  
☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.  
☒ Record the attached assignment and return to the undersigned.  
☒ Attached is a Power of Attorney.  
☐ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:
- | Application Number | Country | Day/Month/Year Filed |
|--------------------|---------|----------------------|
|                    |         |                      |

respectively.

- ☐ Certified copy(ies) of foreign application(s) is/are attached.  
☐ Certified copy(ies) filed on \_\_\_\_\_ in prior application no. \_\_\_\_\_, filed \_\_\_\_\_.  
☒ Applicant claims "small entity" status. ☒ "Small entity" statement attached.  
☐ Please enter the attached and/or below preliminary amendment prior to calculation of filing fee.  
☐ Also attached: ☐ Information Disclosure Statement; ☐ Nucleotide and/or Amino Acid  
 Sequence Submission; ☐ Other:

**Fees due are calculated below:**

Basic filing fee					\$	740.00
Total Effective claims	6	- 20 =	0	x \$	18.00	\$ 0.00
Independent claims	2	- 3 =	0	x \$	84.00	\$ 0.00
If any proper multiple dependent claims now added for first time, add \$280.00 (ignore improper)						\$ 0.00
					<b>FILING FEE</b>	\$ 740.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$400.00/2 months; \$920.00/3 months; \$1440.00/4 months)						\$ 0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted						\$ 130.00
English translation of specification and claims (\$130.00)						\$ 0.00
					<b>FIRST SUBTOTAL</b>	\$ 870.00
If "small entity," enter half (½) of subtotal and subtract						-\$ 435.00
					<b>SECOND SUBTOTAL</b>	\$ 435.00
Assignment Recording Fee (\$40.00)						\$ 40.00
					<b>TOTAL FEE DUE</b>	\$ 475.00
					Check enclosed (Pre-Bill)*	\$
					Check enclosed (non Pre-Bill)*	\$ 475.00
					<b>TOTAL FEE ENCLOSED</b>	\$ 475.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

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**NIXON & VANDERHYTE P.C.**

By Atty: Joseph A. Rhoa, Reg. No. 37,515

Signature: \_\_\_\_\_

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/431,616	11/02/1999	PAUL A. FRANCISCO	2434.120

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CONFIRMATION NO. 8979

## FORMALITIES LETTER



Date Mailed: 09/18/2002

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 11/02/1999 to the Notice to File Missing Parts (Notice) mailed 12/07/1999 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 760 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$890** for a Large Entity

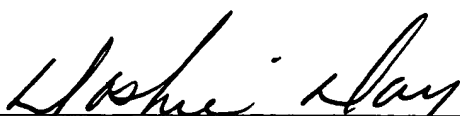
- **\$760** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

11/18/2002 SDENBOB1 00000112 09431616

01 FC:2001  
02 FC:2051

370.00 OP  
65.00 OP

*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE